Attorney's Docket No.: 70003-003001 / 12A-920716

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yuan-Tsong Chen, et al.

Art Unit : 1634

Serial No.: 10/705,245

Examiner: KAPUSHOC, STEPHEN

Filed

: November 10, 2003

THOMAS

Title

: RISK ASSESSMENT FOR ADVERSE DRUG REACTIONS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF UNDER 37 C.F.R. § 1.132

- I, Yuan-Tsong Chen, declare:
- 1. I am a co-inventor named in the above-captioned U.S. patent application.
- 2. I understand that an Office Action dated September 7, 2007 is outstanding in the present application. Amended claim 1, an independent claim under examination, covers a method of assessing the risk of a human patient for developing carbamazepine (CBZ)-induced Stevens-Johnson syndrome (SJS) and toxic epidermal necrolysis (TEN) based on the presence of HLA-B*1502 in that patient.
- 3. SJS and TEN are severe cutaneous hypersensitivity reactions caused by drugs, e.g., CBZ. It is believed that both CBZ-induced SJS and TEN are mediated by CBZ-activated cytotoxic T cells, which initiate apoptosis of keratinocytes resulting in erosions of skin and mucous membranes. See Roujeau et al., *New England J. Med.*, 331:1272-1285, 1994 (copy attached as Exhibit A). CBZ and its metabolites have been found to activate T cells isolated from hypersensitivity patients via direct interaction with MHC and specific T-cell receptors. See Wu et al., *J. Allergy Clin. Immunol.*, 118:233-241, 2006 (copy attached as Exhibit B).
- 4. I, or others under my supervision, have conducted experiments to demonstrate that HLA-B*1502, an MHC class I allele, is directly involved in activating CBZ-specific cytotoxic T cells, the effector cells in SJS/TEN (causing cell death against cells expressing HLA-B*1502).

We first examined whether activation of CBZ-specific T cells is HLA-B*1502 restricted. Peripheral blood mononuclear cells (PBMCs) were isolated from SJS/TEN patients carrying HLA-B*1502. CBZ-specific T cells contained in the isolated PBMCs were then enriched as follows. 1 x 10⁵ PBMCs, prepared by Ficoll-Isopaque density gradient centrifugation, were

Attorney's Docket No.: 70003-003001/12A-920716 Applicant: Yuan-Tsong Chen, et al

Serial No.: 10/705,245

: November 10, 2003 Filed

Page 2 of 4

cultured in complete RPMI medium containing 10% heat-inactivated human serum, IL-2 (25U/ml), and CBZ (25µg/ml) in a 37 °C, 5% CO₂ incubator for 7 days to proliferate CBZspecific T cells. The proliferated T cells were further expanded by co-culturing with autologous B cells in the presence of CBZ for 7 days. This co-culturing process was repeated three times and the CBZ-specific T cells thus enriched were subjected to determination of their HLA restriction. Briefly, in the presence of a control vehicle, CBZ, or gabapentin, the T cells (5 x 10³) were co-cultured with one of the following three types of antigen-presenting cells: (1) Epstein-Barr virus (EBV)-transformed B cells that carry HLA-B*1502 (B-1502), (2) CIR cells (CRL-1993, American Type Culture Collection; HLA-A and HLA-B deficient), and (3) HLA-B*1502transfected CIR cells (CIR-1502). Note that both CBZ and gabapentin are anticonvulsants. After incubated for 24 hours, the T cells were harvested and subjected to ELISPOT analysis to determine the number of IFN-y positive T cells, which are activated T cells. As shown in Figure 1 below, CBZ activated T cells when B-1502 and C1R-1502, but not C1R, were used as antigent presenting cells. Gabapentin failed to activate T cells, regardless of which types of antigen presenting cells were used. These results clearly indicate that CBZ activates T cells via presentation by HLA-B*1502.

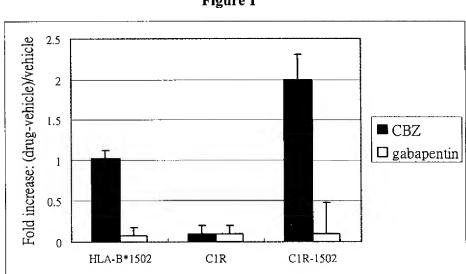


Figure 1

Applicant: Yuan-Tsong Chen, et al Attorney's Docket No.: 70003-003001/12A-920716

Serial No.: 10/705,245

Filed: November 10, 2003

Page : 3 of 4

Next, we examined the cytotoxicity of CBZ-specific T cells against B cells expressing HLA-B*1502 or other HLA-B alleles. The enriched CBZ-specific T cells described above were co-cultured with four types of B cells: (1) EBV-transformed B cells established from two HLA-B*1502 carriers (case 1 and case 2), (2) EBV-transformed B cells that carry HLA-B*5801/5101 (B-5801/5101), (3) EBV-transformed B cells that carry HLA-B*4001/4001 (B-4001/4001), and (4) C1R, in the presence of CBZ or the vehicle control. After 4-hour incubation, cells were collected and subjected to flow cytometry to determine the death rates of the B cells. As shown in Figure 2 below, the death rates of the B cells expressing HLA-B*1502 (case 1 and case2) are much higher than those of B-5801/5101, B-4001/4001, and C1R. This data demonstrates that the CBZ-activated T cells are cytotoxic against antigen-presenting cells expressing HLA-B*1502 specifically.

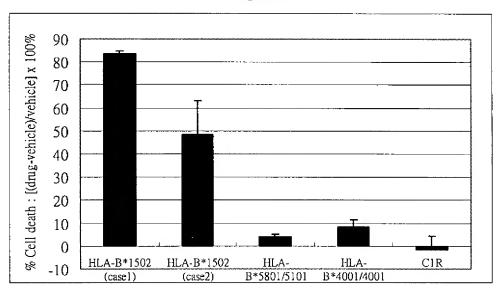


Figure 2

In sum, the experimental data presented above indicate that CBZ activates T cells via presentation by HLA-B*1502 and that the activated T cells show strong cytotoxicity against cells expressing HLA-B*1502. In other words, HLA-B*1502 is a critical player in activating cytotoxic CBZ-specific T cells, which are the effector cells in CBZ-induced SJS/TEN. Clearly, HLA-B*1502 is directly involved in the development of SJS/TEN. It follows that a HLA-

Applicant: Yuan-Tsong Chen, et al

Serial No.: 10/705,245

Filed: November 10, 2003

Page : 4 of 4

B*1502 carrier, regardless of his or her ethnic background, is at risk for developing SJS/TEN.

5. I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Date: Voc 5, 2007

Yuan-Tsong Chen

Director

Institute of Biomedical Sciences

Attorney's Docket No.: 70003-003001/12A-920716

Academia Sinica Taipei, Taiwan

Professor of Pediatrics and Genetics Duke University Medical Center Durham, NC, USA